

TRANSFER/	<b>ROLLOVER REQ</b>	<b>UEST FORM</b>
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## **CION Investments**

tep 1: IRA OWNER INFORMATION   CA Owner Name   Social Security Number   Existing FTR Account Number (If apple)   ddress   City / State / Zip   Phone Number   tep 2: CURRENT CUSTODIAN NAME & ADDRESS   Funds are staying with First Trust Retirement as Custodian, changing investments only. (Please provide investment name & account number.)   Current Custodian Name   Current Custodian Name   Current Custodian Address- Physical Address Required for Overnight Delivery   City / State / Zip   Transfer/Rollover Request Form is	Please Print or Type		orward To: First Trust Retire	ment, c/o SS&C	
ansider/Rollover Requirements.       430 Weix Th Street 855-387-3847       430 Weix Th Street 855-387-3847         bp 1. IAA CONNER INFORMATION       855-387-3847       430 Weix Th Street Kanase City, MO 64105         commer Name       Social Security Number       Existing FTR Account Number (J) opp Phone Number         p2 cliniceum clistopian MAME & ADDRESS       City / State / Zip       Phone Number         p2 cliniceum clistopian Address       City / State / Zip       Phone Number         p2 cliniceum clistopian Address       City / State / Zip       Phone Number         p2 cliniceum clistopian Address       Repuised for Dermityht Delivery       City / State / Zip         current Custodian Address       Physical Address Required for Dermityht Delivery       City / State / Zip         Fax Number       careptification to a cualified Plan, SIMPLE IIIA, 401(b) or Profit Sharing Plan         acceptification of Databases from State IIIA       Inansfer from Traditional IIA       Proor employer may require additional forms to process your request.         at Transfer from Traditional IIA       Rollover from a Qualified Plan, SIMPLE IIIA, 401(b) or Profit Sharing Plan         at Transfer from Traditional IIA       Rollover from a Qualified Plan, SIMPLE IIIA, 401(b) or Profit Sharing Plan         at Transfer from Traditional IIA       Rollover from a Qualified Plan, SIMPLE IIIA, 401(b) or Profit Sharing Plan         at Transfer from Traditional IIA <t< th=""><th></th><th></th><th></th><th></th></t<>					
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NOwner Name       Social Security Number       Existing FTR Account Number (# opple)         dress       City / State / Zip       Phone Number         p2002000000000000000000000000000000000				Kansas City, MO 64105-1407	
	P 1: IRA OWNER INFORMATION				
P2-2CURRENT CUSTODAN NAME & ADDRESS         Funds are staying with First Trust Retirement as Custodian, changing investments only. (Please provide investment name & account number.)         Current Custodian Name       Current Custodian Phone Number         Current Custodian Address- Physical Address Required for Overnight Delivery       City / State / Zip         Fax Number       Current Statement.         P3-2Y CURRENT CUSTODIAN (Current Statement.       Current Custodian Account Number to transferred         Transfer from Traditional IRA       Current Custodian SIMPLE IRA, 401(A),403(b) or Profit Sharing Plan         Transfer from SDF IRA       Rollover from a Qualified Plan, SIMPLE IRA, 401(A),403(b) or Profit Sharing Plan         Transfer from SDF IRA       Rollover from a Qualified Plan, SIMPLE IRA, 401(A),403(b) or Profit Sharing Plan         Transfer from SDF IRA       Rollover from a Qualified Plan, SIMPLE IRA, 401(A),403(b) or Profit Sharing Plan         Transfer from SDF IRA       Rollover from a Qualified Plan, SIMPLE IRA, 401(A),403(b) or Profit Sharing Plan         Transfer from SDF IRA       Rollover from a Qualified Plan, SIMPLE IRA, 401(A),403(b) or Profit Sharing Plan         Transfer from SDF IRA       Rollover from a Qualified Plan, SIMPLE IRA, 401(A),403(b) or Profit Sharing Plan         Transfer from SDF IRA       Rollover from a Qualified Plan, SIMPLE IRA, 401(A),403(b) or Profit Sharing Plan         Transfer from SDF IRA       Rollover from a Qualified Plan, 401(A),403(b) or Profit Sha	A Owner Name	Social Security Number	Existing FT	R Account Number ( <i>If applicable</i>	
	dress	City / State / 7in	Phone Nur	nher	
Current Custodian Name       Current Custodian Phone Number       Current Investment Name/Fund Number         Current Custodian Address       Physical Address Required for Overnight Delivery       City / State / Zip         Fax Number       caceptable to fax to resigning custodian.       Current Custodian Account Number to transferred         asse include a copy of your current statement.       caceptable to fax to resigning custodian.       Current Custodian Account Number to transferred         asse include a copy of your current statement.       caceptable to fax to resigning custodian.       Current Custodian Account Number to transferred         asse include a copy of your current statement.       caceptable to fax to resigning custodian.       Current Custodian Account Number to transferred         asse include a copy of your current statement.       caceptable to fax to resigning custodian.       Current Starling Plan         "Transfer from Traditional IRA       non Inherited Account?       Custodian specific form included. FTR will include with the Letter of Acceptance resigning custodian. (This alerts FTR to include required additional documentality Plant Transfer FTR to include required additional documentality of accel meets to be issued, places issue the check poyoble to First Trus Retirement.         Tensfer of Assets       Select this option for a transfer of the assets and/or cash listed in Step 2, by moving assets out of the current investment and deliver them either to First Trus Retirement.         Tensfer for ISIN FIRA       noransifer in Kind from the account listed in Ste	ep 2: CURRENT CUSTODIAN NAME & ADDRESS				
Current Custodian Address - Physical Address Required for Overnight Delivery       City / State / Zip         Fax Number	J Funds are staying with First Trust Retirement as Cu	stodian, changing investments only. (Please p	rovide investment name & a	ccount number.)	
Fax Number       Current Custodian Account Number to acceptable to fax to resigning custodian.       Current Custodian Account Number to transferred         Ease include a copy of your current statement.       Base include a copy of your current statement.       Current Custodian Account Number to transferred         Image: State of Transfer from Traditional IRA       Image: Custodian account Number to transferred       Current Custodian Account Number to transferred         Image: Custodian from State of the Account?       Image: Custodian specific form included. FTR will include with the Letter of Acceptance resigning custodian. (This olerts FTR to include required additional documentation of the sasets such acceptance resigning custodian. (This olerts FTR to include required additional documentation of the saset such acceptance resigning custodian forms to proceeds on the current investment and deliver them either to First Tr Retirement (FTR) as a new custodian O/O cash listed in Step 2, by moving assets out of the current investment and deliver them either to First Tr Retirement (FTR) as a new custodian O/O cash listed in Step 2, by moving assets out of the current investment and deliver them either to First Tr Retirement (FTR) as a new custodian O/O cash listed in Step 2, by moving assets out of the current investment and deliver them either to First Tr Retirement (FTR) as a new custodian O/O cash listed in Step 2 that will change the custodian to FTR only, and leave the IRA assets within the current investment.         Amount to Transfer in Kind from the account listed in Step 2 that will change the custodian to FTR only, and leave the IRA assets within the current investment.         Amount to Transfer in Kind from the existing FTR Undirected Cash Account and deposit into a new invest	Current Custodian Name	Current Custodian Phone Number	Current Inve	estment Name/Fund Number	
Fax Number       acceptable to fax to resigning custodian.       Current Custodian Account Number to transferred         Particle OF TRANSFER/KOLLOVER       Interfered       Relicover from Traditional IRA       Relicover from a Qualified Plan, SIMPLE IRA, 401(k), 403(b) or Profit Sharing Plan         Transfer from Traditional IRA       Relicover from a Qualified Plan, SIMPLE IRA, 401(k), 403(b) or Profit Sharing Plan       "Your employer may require additional forms to process your request.         Transfer from Traditional IRA       Relicover from a Qualified Plan, SIMPLE IRA, 401(k), 403(b) or Profit Sharing Plan         Are any of these funds/assets from an Inherited Account?       Custodian specific form included. FTR will include with the Letter of Acceptance resigning custodian. ( <i>This olerts FTR to include required additional documentation of the second plant apply)</i> a check needs to be issued, please issue the check payable to First Trust Retirement.         Transfer of Assets         Select this option for a transfer of the assets and/or cash listed in Step 2, by moving assets out of the current investment and deliver them either to First Trust Retirement.         Transfer IN Kind         Select this option for a transfer in Kind from the account listed in Step 2 by moving assets out of the current investment and deliver them either to First Trust Retirement.         Amount to Transfer in Kind         Select this option for a Transfer in Kind from the account listed in Step 2 that will change the custodian to FTR only, and leave the IRA assets within the curre investment. <t< td=""><td>Current Custodian Address- Physical Address Require</td><td>ed for Overnight Delivery</td><td>City / State ,</td><td>/ Zip</td></t<>	Current Custodian Address- Physical Address Require	ed for Overnight Delivery	City / State ,	/ Zip	
Fax Number       acceptable to fax to resigning custodian.       Current Custodian Account Number to transferred         PartyPe QF TRANSFERVIOLLOVER       Intransfer from Traditional IRA       Rollover from a Qualified Plan, SIMPLE IRA, 401(k), 403(b) or Profit Sharing Plan         Transfer from Traditional IRA       Rollover from a Qualified Plan, SIMPLE IRA, 401(k), 403(b) or Profit Sharing Plan         Transfer from Toditional IRA       *Your employer may require additional forms to process your request.         Transfer from Toditional IRA       Custodian specific form included. FTR will include with the Letter of Acceptance resigning custodian. ( <i>This olerts FTR to include required additional documentation of the analytic on transfer of the assets and/or cash listed in Step 2, by moving assets out of the current investment and deliver them either to First Trust Retirement.         Transfer for Math       All       Partial liquidation of S         Transfer in Kind       All       Partial liquidation of S         Select this option for a transfer of the assets and/or cash listed in Step 2 by moving assets out of the current investment and deliver them either to First Trust Retirement.         Amount to liquidate:       All       Partial liquidation of S         Cash proceeds to liquidate* (If applicable)       All       Partial transfer of S         "Solect this option for a Transfer in Kind.       Partial transfer of S       shares         Cash proceeds to liquidate* (If applicable)       All       Partial transfer of S   </i>		Transfer/Rollover Request For	rm is		
By TYPE OF TRANSFERRATION OF TRANSFERRATION OF Profit Sharing Plan	Fax Number		custodian. Current Cus	Current Custodian Account Number to be transferred	
Cash proceeds to liquidate*       All       Partial transfer of       shares         Cash proceeds to liquidate*       All       Partial transfer of       shares         Cash proceeds to liquidate*       All       Partial transfer of       shares         Cash proceeds to liquidate*       All       Partial transfer of       shares         Cash proceeds to liquidate*       All       Partial transfer of       shares         Cash proceeds to liquidate:       All       Partial transfer of \$       shares         Cash proceeds to liquidate:       All       Partial transfer of \$       shares         Cash proceeds to liquidate*       All       Partial transfer of \$       shares         Cash proceeds to liquidate*       All       Partial transfer of \$       shares         Cash proceeds to liquidate*       All       Partial transfer of \$       shares         Cash proceeds to liquidate*       All       Partial transfer of \$       shares         Cash proceeds to liquidate*       All       Partial transfer of \$       shares         Cash proceeds to liquidate*       All       Partial transfer of \$       shares         Cash proceeds to liquidate*       All       Partial transfer of \$       shares         Cash proceeds to liquidate*       All       Par					
Inarised noninser na   Interser from Roth IRA   Are any of these funds/assets from an Inherited Account?   Ves   Ves   No   resigning custodian. ( <i>This alerts FTR to include required additional documentatil</i> parks.FER.INSTRUCTIONS TO CURRENT CUSTODIAN (check all that apply)   ot check needs to be issued, please issue the check payable to First Trust Retirement.     Transfer of Assets   Select this option for a transfer of the assets and/or cash listed in Step 2, by moving assets out of the current investment and deliver them either to First Tr   Retirement (FTR) as a new custodian <i>OR</i> staying with FTR and changing investments.   Amount to Liquidate:   All   Partial liquidation of \$   Transfer in Kind   Select this option for a Transfer in Kind from the account listed in Step 2 that will change the custodian to FTR only, and leave the IRA assets within the curre investment.   Amount to Transfer:   All   Partial transfer of \$   *Only select the cosh proceeds option, if you wish the resigning custodian to liquidate additional cash, as well as honor the Transfer in Kind.   Select this option to transfer cash funds from the existing FTR Undirected Cash Account and deposit into a new Investment remaining with FTR.   Amount to Liquidate:   All   Partial transfer of \$   *Only select the cosh proceeds option, if you wish the resigning custodian to liquidate additional cash, as well as honor the Transfer in Kind.   Select this option to transfer cash funds from the existing FTR Undirected Cash Account and deposit into a new Investment rema		Rollover from a Qualifie	d Plan, SIMPLE IRA, 401(k), 4	03(b) or Profit Sharing Plan*	
Are any of these funds/assets from an Inherited Account?	Transfer from SEP IRA	*Your employer may rec	quire additional forms to pro	cess your request.	
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p. trasser   p. trasser <td>Are any of these funds/assets from an Inherited Account</td> <td>t? Custodian specific form</td> <td>included. FTR will include wi</td> <td>th the Letter of Acceptance sent</td>	Are any of these funds/assets from an Inherited Account	t? Custodian specific form	included. FTR will include wi	th the Letter of Acceptance sent	
a check needs to be issued, please issue the check payable to First Trust Retirement.          Transfer of Assets         Select this option for a transfer of the assets and/or cash listed in Step 2, by moving assets out of the current investment and deliver them either to First Trust Retirement (FTR) as a new custodian OR staying with FTR and changing investments.         Amount to Liquidate:       All       Partial liquidation of \$			is alerts FTR to include requir	ed additional documentation.)	
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Select this option for a transfer of the assets and/or cash listed in Step 2, by moving assets out of the current investment and deliver them either to First Tr   Retirement (FTR) as a new custodian OR staying with FTR and changing investments.   Amount to Liquidate: All   Partial liquidation of \$					
Retirement (FTR) as a new custodian OR staying with FTR and changing investments.   Amount to Liquidate:   All   Partial liquidation of \$		and listed in Stor 2, by serving constraints of th		iven there either to First Taust	
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Amount to Transfer:       All       Partial transfer of	-	ount listed in Step 2 that will change the custod	lian to FTR only, and leave the	e IRA assets within the current	
Cash proceeds to liquidate* (If applicable)       All       Partial transfer of \$         *Only select the cash proceeds option, if you wish the resigning custodian to liquidate additional cash, as well as honor the Transfer in Kind.         Existing FTR Undirected Cash Account         Select this option to transfer cash funds from the existing FTR Undirected Cash Account and deposit into a new investment remaining with FTR.         Amount to Liquidate:       All       Partial transfer of \$         Cash Proceeds Deposition       Medallion Signature Guarantee Below*         *FTR cannot MSG without witnessing Investor's signature exect Only obtain if required by Current Custodian Listed in Step 2         IRA Owner Signature       Date         BELOW FOR INTERNAL USE ONLY       Acceptance of Rollover/Transfer/Conversion by First Trust Retirement:         By:       By:			shares		
*Only select the cash proceeds option, if you wish the resigning custodian to liquidate additional cash, as well as honor the Transfer in Kind.  Existing FTR Undirected Cash Account Select this option to transfer cash funds from the existing FTR Undirected Cash Account and deposit into a new investment remaining with FTR.  Amount to Liquidate: All Partial transfer of \$  Partial transfer of \$  RAA Owner Signature BELOW FOR INTERNAL USE ONLLY Acceptance of Rollover/Transfer/Conversion by First Trust Retirement: By:By:By:By:By:By:By:By:By:By:By:By:By:By:By:By:By:By:By:By:By:By:By:By:By:By:By:By:By:By:By:By:By:By:By:By:By:By:By:By:By:By:By:By:By:By:By:By:By:			Sildres		
Existing FTR Undirected Cash Account         Select this option to transfer cash funds from the existing FTR Undirected Cash Account and deposit into a new investment remaining with FTR.         Amount to Liquidate:       All       Partial transfer of \$         Medallion Signature Guarantee Below*         *FTR cannot MSG without witnessing Investor's signature exect         Only obtain if required by Current Custodian Listed in Step 2         IRA Owner Signature         Date         BELOW FOR INTERNAL USE ONLY         Acceptance of Rollover/Transfer/Conversion by First Trust Retirement:         By:			h as well as honor the Trans	fer in Kind	
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Amount to Liquidate: All   Partial transfer of \$      ep 5: SIGNATURE REQUIRED     ep 5: SIGNATURE REQUIRED     Medallion Signature Guarantee Below*   *FTR cannot MSG without witnessing Investor's signature exected only obtain if required by Current Custodian Listed in Step 2   IRA Owner Signature     Date   BELOW FOR INTERNAL USE ONLY   Acceptance of Rollover/Transfer/Conversion by First Trust Retirement:   By:		sting FTR Undirected Cash Account and deposit	into a new investment rema	ining with FTR.	
IRA Owner Signature       Date         BELOW FOR INTERNAL USE ONLY       Only obtain if required by Current Custodian Listed in Step 2         Acceptance of Rollover/Transfer/Conversion by First Trust Retirement:       Image: Conversion by First Trust Retirement:         By:       Difference	Amount to Liquidate:	Partial transfer of \$			
IRA Owner Signature       Date         BELOW FOR INTERNAL USE ONLY       Only obtain if required by Current Custodian Listed in Step 2         Acceptance of Rollover/Transfer/Conversion by First Trust Retirement:       Image: Conversion by First Trust Retirement:         By:       Difference	ep 5: SIGNATURE REQUIRED		Medallion Signatur	e Guarantee Below*	
BELOW FOR INTERNAL USE ONLY Acceptance of Rollover/Transfer/Conversion by First Trust Retirement: By:By:By:By:By:By:By:By:By:By:By:By:By:By:By:By:By:By:By:By:By:By:By:By:By:By:By:By:By:By:By:By:By:By:By:By:By:By:By:By:By:By:By:By:By:By:By:By:By:By:By:By:By:By:By:By:By:By:By:By:By:By:By:By:By:By:By:By:By:By:By:By:By:By:By:By:By:By:By:By:By:By:By:By:By:By:By:By:By:By:By:By:By:By:By:By:By:By:By:By:By:By:By:By:By:By:By:By:By:By:By:By:By:By:By:By:By:By:By:By:By:By:By:By:By:By:By:By:By:By:By:By:By:By:By:By:By:By:By:By:By:By:By:By:By:By:By:By:By:By:By:By:By:By:By:By:By:By:By:By:By:By:By:By:By:By:By:By:By:By:By:By:By:By:By:Ayy		*	FTR cannot MSG without witnes	sing Investor's signature execution.	
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	Acceptance of Rollover/Transfer/Conversion by First	t Trust Retirement:			
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